Results of Brief Enforcement Hearings – August 20, 2001 Results of Enforcement Before the Full Commission – August 28, 2001

Note: Brief Enforcement Hearings are heard by a Single Commissioner, normally the Chair of the Commission. Brief enforcement hearings are held when the facts are undisputed, the violations appear to be relatively minor in nature, and a penalty no greater than \$500 will be assessed for the violations. A respondent may appeal the results of a brief enforcement hearing by asking the full Commission to review the findings of the Single Commissioner.

Brief Enforcement Hearings - August 20, 2001

Brief enforcement hearings were scheduled for four Respondents who PDC staff alleged had failed to timely file the annual Personal Financial Affairs Statement (PDC form F-1) that was due by April 16, 2001. The results of the brief enforcement hearings, which included referring one case to the full Commission, are included on a separate spreadsheet.

Enforcement Hearings Before the Full Commission – August 28, 2001

1. **Stanley Hull**, Case #01-405

Results: In Case #01-405, the Commission found that the Respondent violated RCW 42.17.240 by failing to file the F-1 Report by April 16, 2001.

Assessed Penalty: \$500. The Commission further ordered that if the assessed penalty together with the \$500 penalty assessed in case no. 01-070 and the \$500 penalty assessed in case No. 00-511 are not paid within 30 days of the date of the order the unpaid penalties totaling \$1,500 will be turned over to the Office of the Attorney General for collection.

2. Walter Mazna, Case #01-471

Results: In Case #01-471, the Commission found that the Respondent violated RCW 42.17.240 by failing to file the F-1 Report by April 16, 2001.

Assessed Penalty: \$500 with \$250 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of 4 years from the date of the order. The Commission further ordered that if the \$250 non-suspended portion of the penalty together with the \$450 unpaid penalty in Case No. 01-084 and the \$500 penalty assessed in Case No. 00-605 are not paid within 30 days of the date of the order the unpaid penalties totaling \$1,200 will be turned over to the Office of the Attorney General for collection.

3. Gregory Nelson, Case #01-503

Results: The Commission found that the Respondent violated RCW 42.17.240 by failing to file the F-1 Report by April 16, 2001.

Assessed Penalty: \$500. The Commission further ordered that the \$50 penalty suspended in Case No. 00-649 is reinstated. The Commission further ordered that if the assessed penalty of \$500 together with the \$200 penalty assessed in Case No. 01-091 and the \$50 penalty reinstated in Case No. 00-649 are not paid within 30 days of the date of the order, the unpaid penalties totaling \$750 will be turned over to the Office of the Attorney General for collection.

4. Sonia Shoptaw, Case #01-586

Results: The Commission found that the Respondent violated RCW 42.17.240 by failing to file the F-1 Report by April 16, 2001.

Assessed Penalty: \$500. The Commission further ordered that if the assessed penalty of \$500 together with the \$250 penalty assessed in Case No. 01-110 and the \$500 penalty assessed in Case No. 00-760 are not paid within 30 days of the date of the order, the unpaid penalties totaling \$1,250 will be turned over to the Office of the Attorney General for collection.

5. St. Clair Woodworth, Case #01-679

Results: The Commission found that the Respondent violated RCW 42.17.240 by failing to file the F-1 Report by April 16, 2001.

Assessed Penalty: \$1,000 with \$500 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of three years from the date of the order. The Commission further ordered that if the non-suspended portion of the assessed penalty is not paid within 30 days of the date of the order, the unpaid penalty will be turned over to the Office of the Attorney General for collection.

6. William Wulff, Case #01-683

Results: The Commission found that the Respondent violated RCW 42.17.240 by failing to file the F-1 Report by April 16, 2001.

Assessed Penalty: \$1,000 with \$500 suspended on the following conditions: Within 30 days from the date of the order, the Respondent must: 1) file the F-1 Reports that were due by April 17, 2000 and April 16, 2001; 2) pay the \$500 nonsuspended portion of the penalty; and 3) pay the unpaid \$300 penalty in Case No. 01-129. In addition, the Respondent must not commit any further violations of RCW 42.17 for a period of four years from the date of the order. The Commission further ordered that if the terms that must be complied with within 30 days of the order for suspending \$500 of the assessed penalty are not met, all unpaid penalties will be turned over to the Office of the Attorney General for collection.

7. **Bethel School District**, Case #01-201

Results: The Commission found that the Respondent violated RCW 42.17.680(4) by failing to maintain open for public inspection, during business hours, employees' payroll deduction authorization forms for contributions to a political committee.

Assessed Penalty: \$1,000 with \$500 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.

8. Clover Park School District, Case #01-202

Results: The Commission found that the Respondent violated RCW 42.17.680(4) by failing to maintain open for public inspection, during business hours, employees' payroll deduction authorization forms for contributions to a political committee.

Assessed Penalty: \$1,000 with \$500 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.

Requests for Review by the Full Commission of Brief Enforcement Hearings

- 1. **Stanley Hull**, Case #00-511, initially found to have violated RCW 42.17.240 by failing to time file a Personal Financial Affairs Statement within two weeks of becoming a candidate in 1999 and assessed a penalty of \$500 with \$450 suspended. By terms of the final order, Respondent's penalty became \$500. **Results:** No motion. Final order remains a final order.
- 2. **Walter Mazna**, Case #00-605, initially found to have violated RCW 42.17.240 by failing to time file a Personal Financial Affairs Statement within two weeks of becoming a candidate in 1999 and assessed a penalty of \$500 with \$450 suspended. By terms of the final order, Respondent's penalty became \$500. **Results:** No motion. Final order remains a final order.
- 3. **Sonia Shoptaw**, Case #00-760, initially found to have violated RCW 42.17.240 by failing to time file a Personal Financial Affairs Statement within two weeks of becoming a candidate in 1999 and assessed a penalty of \$500 with \$450 suspended. By terms of the final order, Respondent's penalty became \$500. **Results:** No motion. Final order remains a final order.

- 4. **Julia Bowen**, Case #00-304, initially found to have violated RCW 42.17.050 and 42.17.240 by failing to timely file the Candidate Registration (PDC form C-1) and Personal Financial Affairs Statement (PDC form F-1) within two weeks of becoming a candidate in 1999 and assessed a penalty of \$500 with \$450 suspended. By terms of the final order, Respondent's penalty became \$500. **Results:** No motion. Final order remains a final order.
- 5. **Peggy Maze Johnson,** Case #00-530, initially found to have violated RCW 42.17.050 and 42.17.240 by failing to timely file the Candidate Registration (PDC form C-1) and Personal Financial Affairs Statement (PDC form F-1) within two weeks of becoming a candidate in 1999 and assessed a penalty of \$500 with \$450 suspended. By terms of the final order, Respondent's penalty became \$500. **Results:** No motion. Final order remains a final order.
- 6. **Vagmayi,** Case #01-638, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.

Results: The Commission vacated the initial order and dismissed Case No. 01-638.

7. **Dorothy Walton-Luglan**, Case #01-648, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.

Results: The Commission vacated the initial order and dismissed Case No. 01-648.